



bankers' compliance group®



ALDRICH & BONNEFIN, P.L.C.
Counsel for Bankers' Compliance Group®
Presents a Special Training Seminar

BSA & ANTI-MONEY LAUNDERING UPDATE

Aldrich & Bonnefin, P.L.C. is pleased to invite you to a Special Training Seminar on BSA and Anti-Money Laundering Compliance. It is nothing new that every employee of a financial institution must have certain levels of understanding of the various BSA/AML requirements. This training seminar will focus on some of the practical problems facing the banking industry, including issues to address as part of your customer due diligence. Our discussion will cover the more common problems and issues faced when completing and filing SARs. We will also discuss some of the more pressing examination issues in connection with our look at the 2007 revised Examination Manual.

SPEAKER

KEITH R. FORRESTER, ESQ.

Mr. Forrester is a principal with Aldrich & Bonnefin. Since 1993 he has been extensively involved with advising financial institutions on a variety of operations matters, including BSA and AML compliance, electronic banking, legal processes, new accounts, negotiable instruments, as well as California and Federal laws on financial privacy. Mr. Forrester is a member of the firm's Operations Practice Group and in that capacity has assisted financial institutions in all areas of banking operations, commercial litigation and lender liability.

Special points of interest:

- **Common SAR problems and issues**
- **Due diligence for higher risk customers**
- **FinCEN warnings on fraudulent financial schemes**
- **Maintaining accounts involved in suspected criminal activity**

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LOCATIONS: This Special Training Seminar will be held in the following locations; continental breakfast is provided. Please note the parking validation rules at each location. Please note the specific hotel for your seminar as they can vary according to availability.

8:30 a.m. to 12:00 noon
ALL LOCATIONS

LOCATION & PARKING	DATE
SAN FRANCISCO Palace Hotel, 2 New Montgomery St. (Parking: We validate for hotel valet-parking only.)	Friday August 15, 2008
GARDEN GROVE Anaheim Marriott Suites, 12015 Harbor Blvd. (Parking: Free self-parking is available.)	Monday August 18, 2008
MONROVIA Courtyard by Marriott, 700 W. Huntington Drive (Parking: Free self-parking is available.)	Wednesday August 20, 2008
LOS ANGELES Airport Marriott Hotel, 5855 West Century Blvd. (Parking: We validate for self-parking only.)	Friday August 22, 2008
ONTARIO Airport Marriott, 2200 E. Holt Blvd. (Parking: We validate for self-parking only.)	Monday August 25, 2008
SAN DIEGO Mission Valley Marriott, 8757 Rio San Diego Drive (Parking: We validate for self-parking only.)	Tuesday August 26, 2008
SACRAMENTO Sheraton Grand Hotel, 1230 J St. (Parking: Validated, self-parking is available in garage on 13th Street, between I and J streets. We do not validate for valet-parking.)	Thursday August 28, 2008

Meeting rooms vary in temperature
Layered dressing is suggested

BSA/AML Update Special Training Seminar

COST:

BCG Members: The cost is **\$335.00 per person** for BCG Members. The Seminar is being offered on an optional basis to Bankers' Compliance Group Members. Therefore, if a BCG member does not register anyone for the Seminar, the BCG member will not be billed. The Seminar is not part of the BCG Membership retainer and will not be billed to BCG Members as part of the BCG pro rata bill unless someone registers from the member institution. *BCG Members are not required to enclose a check for the registration fees.*

Non-Members: The cost is **\$450.00 per person** for non-members. **Fee must be paid prior to seminar or attendance will be denied.** *Non-members are required to enclose a check for the registration fees with their registration form.*

CANCELLATION: **BCG Member:** Will **not** be billed only if registrant's cancellation notice is received **AT LEAST 3** business days prior to the seminar date they are scheduled to attend.

Non-Members: Registration fees will be **fully** refunded if registrant's cancellation notice is received **AT LEAST 3** business days prior to the seminar date. **\$100 ONLY will be refunded** if a cancellation notice is received after that time.

ADMINISTRATIVE: We hope that you will mark your calendars to attend this informative seminar. If you plan to attend this seminar, please complete and return the enclosed Registration Form at least **four (4) business days prior to the date of seminar you plan to attend.** We recommend you send in your registration as soon as possible, as **registration forms are accepted on a first-received basis.**

For additional information regarding the seminar, please contact Summer Myers or Monique Billingsley at (949) 553-0909 or toll free (BCG Members only) at (800) 742-3600.

ACCREDITATION: **MCLE** As an added benefit we are offering this Seminar to in-house attorneys for Minimum Continuing Legal Education Credits. NOTE: This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **3 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.

CRCM Credit: We are offering this Seminar for credit for Certified Regulatory Compliance Managers (CRCMs). This activity is eligible for **3 hours** of CRCM Continuing Bankers Education credit by the Institute of Certified Bankers.

CPE Credit: Aldrich & Bonnefin follows Continuing Education regulations as outlined in the California Accountancy Act. Continuing education may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **3 hours** of CPE continuing education credit.



BSA/AML UPDATE SPECIAL TRAINING SEMINAR

REGISTRATION FORM

REGISTRATION DEADLINE: 4 business days prior to each seminar location

NAME OF ORGANIZATION _____

ADDRESS _____

RESPONDENT'S NAME AND TITLE _____

TELEPHONE NO. _____ FAX NO. _____



If you have a disability and may require accommodation in order to fully participate in this activity, please check here. You will be contacted by the BCG Coordinator to discuss your specific needs.

LOCATION (8:30—12:00 NOON)	NAMES (PLEASE PRINT)
Friday, August 15, 2008 San Francisco Palace Hotel 2 New Montgomery St., San Francisco	
Monday, August 18, 2008 Anaheim Marriott Suites 12015 Harbor Blvd., Garden Grove	
Wednesday, August 20, 2008 Courtyard by Marriott 700 W. Huntington Drive, Monrovia	
Friday, August 22, 2008 Los Angeles Airport Marriott 5855 West Century Blvd., Los Angeles	
Monday, August 25, 2008 Ontario Airport Marriott 2200 E. Holt Blvd., Ontario	
Tuesday, August 26, 2008 San Diego Mission Valley Marriott 8757 Rio San Diego Dr., San Diego	
Thursday, August 28, 2008 Sacramento Sheraton Grand 1230 J Street, Sacramento	

FEE: **BCG Members:** \$335.00 Per Person [The member will be billed; **DO NOT ENCLOSE A CHECK.**]
BCG Non-Members: \$450.00 Per Person [Enclose check payable to "Aldrich & Bonnefin, P.L.C."]

BCG NON-MEMBER AMOUNT ENCLOSED: \$ _____

Please fax or mail this form to: **ALDRICH & BONNEFIN, P.L.C.** P.O. Box 19686 ♦ Irvine, CA 92623
(949) 474-1944 or (800) 742-3600 ♦ Fax (949) 474-2906 (No Cover Sheet Required)