



bankers' compliance group®

ALDRICH & BONNEFIN, P.L.C., *counsel for*
Bankers' Compliance Group®, Presents



LEGAL PROCESSES

SEMINAR HIGHLIGHTS

PROPERTY SUBJECT TO LEVY

Individual Accounts
Business Accounts
Fiduciary Accounts

WRITS AND LEVIES

Writs of Execution
Notices of Levy
Garnishee's Memorandum
Government Levies

RIGHT TO FINANCIAL PRIVACY

Civil Subpoenas
Government Requests for
Information

Aldrich & Bonnefin is pleased to invite you and your operations personnel to attend our seminar on the subject of legal processes. Financial institutions understandably continue to struggle with the conflicts between third parties and the institution's customers when it comes to levying an account or producing documents in response to a subpoena.

The program will focus on levies served by private creditors as well as governmental agencies, in particular the state and federal taxing agencies. The discussion will include the fundamentals of processing a levy and important principles for determining whether a particular type of account is subject to levy.

In addition to levies and executions, we will discuss the California and Federal Right to Financial Privacy laws. In this area, a portion of the program will be dedicated to civil subpoenas and government requests for information.

This seminar is intended to be of benefit to individuals will all levels of experience.

SPEAKER

KEITH R. FORRESTER, ESQ. Mr. Forrester, a principal of Aldrich & Bonnefin, is extensively involved with advising financial institutions on a variety of matters, including legal processes, with an emphasis on levies and executions, as well as California and Federal laws on financial privacy. Mr. Forrester also assists financial institutions in all areas of banking operations, including the Bank Secrecy Act, negotiable instruments, new accounts and electronic banking.

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LOCATIONS:

Our **Legal Processes Seminar** will be held in the following locations. Please note the parking and validation rules for each location.

We suggest you dress in layers, as the hotel meeting rooms tend to vary in temperature.

TIME: 8:30 a.m.—3:00 p.m.

| LOCATION & PARKING | DATE |
|---|------------------------------|
| SAN DIEGO Mission Valley Marriott, 8757 Rio San Diego Dr. (Parking: We validate for self-parking only.) | Monday, March 3, 2008 |
| WOODLAND HILLS Warner Center Marriott, 21850 Oxnard Street. (Parking: We validate for self-parking only.) | Wednesday, March 5, 2008 |
| SANTA BARBARA Biltmore, 1260 Channel Drive (Parking: Free parking is available. We do not validate for valet-parking.) | Thursday, March 6, 2008 |
| LOS ANGELES Airport Marriott Hotel, 5855 West Century Blvd. (Parking: We validate for self-parking only.) | Friday, March 7, 2008 |
| GLENDALE Hilton, 100 W. Glenoaks Blvd. (Parking: We validate for self-parking only.) | Monday, March 10, 2008 |
| ANAHEIM Sheraton Park Hotel, 1855 S. Harbor Blvd. (Parking: We validate for self-parking only.) | Tuesday, March 11, 2008 |
| SACRAMENTO Sheraton Grand Hotel, 1230 J St. (Parking: Validated, self-parking is available in garage on 13th Street, between I and J Streets. We do not validate for valet-parking.) | Wednesday, March 12, 2008 |
| SAN FRANCISCO Palace Hotel, 2 New Montgomery St. (Parking: We validate for <i>hotel valet-parking</i> only.) | Thursday, March 13, 2008 |
| ONTARIO Airport Marriott, 2200 E. Holt Blvd. (Parking: We validate for self-parking only.) | Friday, March 14, 2008 |
| SAN JOSE Hilton & Towers, 300 Almaden Blvd. (Parking: We validate for hotel self-parking only.) | Tuesday, March 18, 2008 |

SEMINAR DETAILS:

ACCREDITATION:

APPROVED FOR MCLE CREDIT: As an added benefit to BCG members, we are offering this seminar to in-house attorneys for Minimum Continuing Legal Education Credits (MCLE). The State Bar of California has approved this activity in the amount of 4.75 hours. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE.

APPROVED FOR CRCM CREDIT: We are offering this Seminar for credit for Certified Regulatory Compliance Managers (CRCMs). This activity is eligible for 4.75 hours of CRCM Continuing Bankers Education credit by the Institute of Certified Bankers.

CPE CREDIT: Aldrich & Bonnefin follows Continuing Education regulations as outlined in the California Accountancy Act. CE may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for 4.75 hours of CPE Continuing Education credit.

MATERIALS:

SPM #4 This will be a full-day seminar, from 8:30 a.m. to 3:00 p.m. In conjunction with the seminar, we will be updating Standard Procedures Manual #4 "Legal Processes."

Note: Only those attendees designated by their bank will receive a manual. All attendees will receive an Agenda and Discussion Outline.

ADMINISTRATIVE MATTERS:

- ◆ Registration begins at 8:00 a.m. at all locations, along with a continental breakfast. You will be on your own for lunch.
- ◆ We recommend you send in your registration as soon as possible. Our desire is to accommodate all our members, however, due to hotel space constraints, we are forced to accommodate seating on a **first received basis for registrations.** Registration Deadline is 5 business days prior to each seminar location. Seating and materials cannot be guaranteed after this deadline.
- ◆ For Bankers' Compliance Group Members, charges will be billed on a pro rata basis.
- ◆ For non-members, there is a \$750 fee for the first person attending from your institution, and a \$250 fee per person for each additional person attending from the same institution. Fees must be received prior to the seminar. Registration fees will be refunded to non-members only if cancellation notice is received on or before **February 25, 2008.**
- ◆ The law firm reserves the right to limit the number of persons from each member who may attend subject to availability.
- ◆ For additional information regarding the seminar, please contact Christine Todi. For questions regarding registration, please contact Monique Billingsley at (949) 553-0909 or toll free (BCG Members only) at (800) 742-3600.



LEGAL PROCESSES SEMINAR

REGISTRATION FORM

IMPORTANT: Registration due **5 BUSINESS DAYS PRIOR TO EACH SEMINAR LOCATION.** Because of hotel space constraints, we must accommodate seating and materials on a first received basis for registrations.

NAME OF ORGANIZATION _____ ADDRESS _____

RESPONDENT'S NAME AND EMAIL _____ \ _____

TELEPHONE NO. _____ FAX NO: _____

Please check here if you have a disability and may require accommodation in order to fully participate in this activity. You will be contacted by the BCG Coordinator to discuss your specific needs.

| ABBV. | LOCATIONS: | 8:30 a.m. to 3:00 p.m. |
|-----------|--|------------------------|
| SD | SAN DIEGO, CA. Monday, March 3, 2008, Mission Valley Marriott, 8757 Rio San Diego Drive | |
| WH | WOODLAND HILLS, CA. Wednesday, March 5, 2008, Warner Center Marriott, 21850 Oxnard St. | |
| SB | SANTA BARBARA, CA Thursday, March 6, 2008, Santa Barbara Biltmore, 1260 Channel Drive | |
| LA | LOS ANGELES, CA. Friday, March 7, 2008, LAX Marriott, 5855 West Century Blvd. | |
| GL | GLENDALE, CA. Monday, March 10, 2008, Glendale Hilton, 100 West Glenoaks Blvd. | |
| AN | ANAHEIM, CA. Tuesday, March 11, 2008, Sheraton Park Hotel, 1855 S. Harbor Blvd. | |
| SA | SACRAMENTO, CA. Wednesday, March 12, 2008, Sheraton Grand Hotel, 1230 J Street | |
| SF | SAN FRANCISCO, CA. Thursday, March 13, 2008, Palace Hotel, 2 New Montgomery Street | |
| ON | ONTARIO, CA. Friday, March 14, 2008, Ontario Airport Marriott, 2200 East Holt Blvd. | |
| SJ | SAN JOSE, CA. Tuesday, March 18, 2008, San Jose Hilton & Towers, 300 Almaden Blvd. | |

| NAME OF ATTENDEE (Premium members: copy sheet and attach) | LOCATION ABBREVIATION (ABOVE) | COMP MANUAL (CHECK ONE BOX) | PURCHASE MANUAL | OUTLINE ONLY |
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REGISTRATION SHEET
Please fill in name of every attendee and check a box to receive a purchased manual or a complimentary manual (up to 5 per institution).

PURCHASE MANUAL COST
SPM #4 is **\$35.00** for duration of seminar. This Price is for **Members Only.** Member Will Be Billed For Extra Manuals On Its BCG Bill.

SEMINAR FEE:
BCG Members: PRO RATA
[The member will be billed; do not enclose a check]

Non-BCG Member:
First Person \$750.00
Each additional person \$250.00

Check Enclosed: \$ _____
Please **Make Checks Payable**
And Return This Form To:

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(949) 474-1944 or (800) 742-3600
Fax (949) 474-2906
(No cover sheet required)