

Aldrich & Bonnefin, PLC*, Counsel for Bankers' Compliance Group® Presents

Financial Privacy & Information Security

April 2025—Revised Schedule!

Financial privacy and information security remain a high priority in the minds of the public and the regulators. Both the GLBA and the CCPA privacy protections over cybersecurity and security breaches are intended to address these areas of risk but at the same time increase the compliance burden of financial institutions. To make things worse, the CFPB's recent final rule on Open Banking introduces an extremely complex set of new regulations that institutions will be forced to understand and address.

This Seminar will address the financial privacy requirements under GLBA and the CCPA, including the various required notices, disclosures, and consumers' rights regarding their own information. We will also discuss the impact of the above mentioned CFPB Open Banking Rule as well as other recent developments.

On the information security front, this Seminar will cover the banking agencies' expectations for financial institutions to increase awareness of the numerous cybersecurity risks and also to assess and mitigate those risks. We will also discuss the latest in cyberattacks as well as managing security breaches and the breach notification rule issued by the banking agencies.

WHO SHOULD ATTEND?

Please invite your Privacy Officers, Compliance Officers, Marketing Personnel, IT Managers, and Chief Risk Officers

We have scheduled only
Recording Playbacks with Live Q&As for this Seminar.
No in-person Seminar locations will be held.



Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin, PLC*. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, electronic banking, treasury services, legal processes, right to financial privacy laws, negotiable instruments and new accounts.

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John M. Davis, Esq., is a principal of Aldrich & Bonnefin, PLC*. Mr. Davis joined Aldrich & Bonnefin, PLC* in May 2017 as a Summer Associate and remained with the firm as a law clerk until he became an Associate Attorney in June 2019. Mr. Davis is part of the firm's Banking Operations & Fintech and Corporate Practice Groups, specializing in general bank operations and corporate governance matters, including bank regulatory compliance, bank powers, legal processes, third party risk management and contract negotiations. Mr. Davis also regularly advises clients on matters related to data privacy and information security laws, including with regard to the Gramm-Leach-Bliley Act, and the California Consumer Privacy Act.

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* Janet Bonnefin has retired from the firm

SEMINAR HIGHLIGHTS

Financial Privacy

- What information is protected by GLBA and CCPA?
- What information may you share, when and why?
- GLBA/CCPA privacy notices
- CFPB's Open Banking Rule

Information Security

- The banking agencies' breach notification rules
- Emerging risks in cybersecurity
- Regulatory notices and guidance on cybersecurity and cyberattacks
- FFIEC Information Technology Examination Handbook



REVISED SCHEDULE!

SEMINAR RECORDING PLAYBACKS - BCG MEMBERS ONLY (Continuing education credit will be provided only to the registrant)

	DATE AND TIME	REGISTRATION CLOSE DATE
RECORDING PLAYBACK #1	Tuesday, April 15, 2025, 8:30 a.m. - 3:00 p.m. This date has been cancelled	Thursday, April 10, 2025, 12:00 p.m.
RECORDING PLAYBACK #2	Thursday, April 17, 2025, 8:30 a.m. - 3:00 p.m. This date has been cancelled	Monday, April 14, 2025, 12:00 p.m.
RECORDING PLAYBACK #3	Wednesday, April 23, 2025, 8:30 a.m. - 3:00 p.m.	Friday, April 18, 2025, 12:00 p.m.
RECORDING PLAYBACK #4	Thursday, April 24, 2025, 8:30 a.m. - 3:00 p.m.	Monday, April 21, 2025, 12:00 p.m.
RECORDING PLAYBACK #5 *Newly Added!	Monday, April 28, 2025, 8:30 a.m. - 3:00 p.m.	Wednesday, April 23, 2025, 12:00 p.m.
RECORDING PLAYBACK #6 *Newly Added!	Wednesday, April 30, 2025, 8:30 a.m. - 3:00 p.m.	Friday, April 25, 2025, 12:00 p.m.

LOGIN INFORMATION: Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, we are updating sections of BCG Standard Procedures Manual Standard Procedures Manual #20, "Financial Privacy & Information Security." BCG Members will receive the updated SPM through their membership subscription to Compliance Companion® by the first week of April 2025. As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Recording Playback.

ACCREDITATION (Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: American Bankers Association (ABA) Professional Certifications has approved Financial Privacy & Information Security for: **5.75** CRCM credit. APPROVED NON-ABA CE search for training will appear as: Aldrich & Bonnefin, PLC | Financial Privacy & Information Security

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **5.5** hours of CPE Continuing Education credit.