Aldrich & Bonnefin, PLC*, Counsel for Bankers' Compliance Group® Presents:



Negotiable Instruments Law

JANUARY 2027 SEMINAR

We have scheduled only Recording Playbacks with Live Q&As for this Seminar.

Aldrich & Bonnefin is pleased to invite you and your operations personnel to attend our Negotiable Instruments Law Seminar. While electronic check collection has made processing checks faster and easier, it has also made it more difficult to detect check fraud, which resulted in a massive increase in check fraud over the last several years.

To help our members cope with this new world of check fraud, we will carefully review the various types of fraud claims that may be asserted against both depositary and payor banks to help better understand the nature of the fraud and what defenses may be available. Our detailed discussion will cover the complicated warranty and indemnity rules under both Regulation CC and the UCC.

Finally, we will also navigate the more traditional issues involving check stop payment rights, lost, stolen or destroyed cashier's checks, and Regulation CC funds availability adjustments.

Seminar Highlights

- **Electronic check collection:**
  - Regulation CC requirements
  - Applicability of ECCHO Rules
- **Steps that may help identify common fraudulent check schemes**
- **Liability and defenses for forged, counterfeit and altered checks**
- **Account holders' stop payment rights**
- **Lost, stolen or destroyed cashier's checks**

WHO SHOULD ATTEND?

Operations personnel, compliance staff, in-house counsel, and risk management officers



Keith R. Forrester, Esq.

Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin, PLC. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, electronic banking, treasury services, legal processes, right to financial privacy laws, negotiable instruments and new accounts.



John M. Davis, Esq.

John M. Davis, Esq., is a principal of Aldrich & Bonnefin, PLC. Mr. Davis joined Aldrich & Bonnefin, PLC in May 2017 as a Summer Associate and remained with the firm as a law clerk until he became an Associate Attorney in June 2019. Mr. Davis is part of the firm's Banking Operations & Fintech and Corporate Practice Groups, specializing in general bank operations and corporate governance matters, including bank regulatory compliance, bank powers, legal processes, third party risk management and contract negotiations. Mr. Davis also regularly advises clients on matters related to data privacy and information security laws, including with regard to the Gramm-Leach-Bliley Act, and the California Consumer Privacy Act.

ALDRICH & BONNEFIN
Professional Law Corporation

** Janet Bonnefin has retired from the firm.*

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SEMINAR RECORDING PLAYBACKS – BCG MEMBERS ONLY

(Continuing education credit will be provided only to the registrant)

RECORDING PLAYBACK	DATE AND TIME	REGISTRATION CLOSE DATE
Playback #1	**Wednesday, January 13, 2027** 8:30 a.m. – 3:00 p.m.	**Friday, January 8, 2027** 12:00 p.m.
Playback #2	**Thursday, January 14, 2027** 8:30 a.m. – 3:00 p.m.	**Monday, January 11, 2027** 12:00 p.m.
Playback #3	**Wednesday, January 20, 2027** 8:30 a.m. – 3:00 p.m.	**Thursday, January 14, 2027** 12:00 p.m.
Playback #4	**Wednesday, January 27, 2027** 8:30 a.m. – 3:00 p.m.	**Friday, January 22, 2027** 12:00 p.m.

LOGIN INFORMATION: Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the PIN is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at **register.bankerscompliancegroup.com/subadmin** and select "**Register for Events**." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, we are updating sections of BCG Standard Procedures Manual #7, *Negotiable Instruments Law*. BCG Members will receive the updated SPM through their membership subscription to Compliance Companion® by the first week of January 2027. As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Recording Playback.

ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE	Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75 hours**.
CRCM	American Bankers Association (ABA) Professional Certifications has approved "Bank Secrecy Act Compliance" for: **6 CRCM credit**. APPROVED NON-ABA CE search for training will appear as: Aldrich & Bonnefin, PLC | Bank Secrecy Act Compliance.
CPE	Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **5.5 hours** of CPE Continuing Education credit.