

Aldrich & Bonnefin, PLC*, Counsel for Bankers' Compliance Group® Presents

GENERAL BANKING OPERATIONS

July 2026

Aldrich & Bonnefin, PLC is pleased to invite you and your operations staff to attend a Seminar addressing operations compliance. We will discuss a number of important banking operations compliance areas.

The presentation will include issues and processes triggered when an institution is notified of an account holder's death. This death of depositor discussion will include small estate processes, as well as estates subject to probate.

An overview of certain IRS information reporting obligations will also be addressed, including 1099-INT, 1099-MISC, 1099-NEC, 1099-C, 1099-A, 1098 and the **NEW** 1098-VLI.

We will also discuss powers of attorney, including content requirements and related risks (general, durable, statutory, and trustee scenarios).

Lastly, we will address recent operations "hot topics" and regulatory developments.

WHO SHOULD ATTEND?

Please invite your operations officers, personnel who handle legal processes, compliance officers, in-house counsel, branch managers and new accounts personnel.



Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin, PLC. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, electronic banking, treasury services, legal processes, right to financial privacy laws, negotiable instruments and new accounts.



SEMINAR HIGHLIGHTS

- Detailed death of depositor processes
- IRS information returns, including the **NEW** 1098-VLI, for interest paid on qualified vehicle loans
- Powers of attorney (general, durable and statutory)
- Operations "hot topics"

We have scheduled only Recording Playbacks with Live Q&A for this Seminar. No in-person Seminar locations will be held.



Anne McEvilly, Esq., is a principal of Aldrich & Bonnefin, PLC, specializing in regulatory compliance, including banking operations, treasury services and vendor management and contract negotiations. Ms. McEvilly is co-manager of the firm's Operations & FinTech Practice Group. Prior to joining the firm, she was in-house counsel to Toyota and Lexus Financial Services and also counsel to the Resolution Trust Corporation. Prior to practicing law, she worked with California Federal Bank. Anne graduated from Whittier College School of Law and also received her BA from California State University, Long Beach.

ALDRICH
&
BONNEFIN
Professional Law Corporation*

* Janet Bonnefin has retired from the firm.

BANKERS' COMPLIANCE GROUP®

phone: 800.742.3600

fax: 949.474.0617

Email: info@bankerscompliancegroup.com



SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY
(Continuing education credit will be provided only to the registrant)

	DATE AND TIME	REGISTRATION CLOSE DATE
RECORDING PLAYBACK #1	Tuesday, July 14, 2026, 8:30 a.m. - 3:00 p.m.	Thursday, July 9, 2026, 12:00 p.m.
RECORDING PLAYBACK #2	Wednesday, July 15, 2026, 8:30 a.m. - 3:00 p.m.	Friday, July 10, 2026, 12:00 p.m.
RECORDING PLAYBACK #3	Tuesday, July 21, 2026, 8:30 a.m. - 3:00 p.m.	Thursday, July 16, 2026, 12:00 p.m.
RECORDING PLAYBACK #4	Thursday, July 23, 2026, 8:30 a.m. - 3:00 p.m.	Monday, July 20, 2026, 12:00 p.m.

LOGIN INFORMATION: Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the PIN is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, we are updating BCG Standard Procedures Manual #9, *General Banking Operations*. BCG Members will receive the updated SPM through their membership subscription to Compliance Companion® by the first week of July 2026. As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Recording Playback.

ACCREDITATION

(Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: "General Banking Operations" has been submitted to ABA Professional Certifications for CE credit review and is pending approval. Once we receive notification of the credit approval, we will notify attendees. For more information, contact info@bankerscompliancegroup.com.

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **5.5** hours of CPE Continuing Education credit.