

Aldrich & Bonnefin, PLC*, Counsel for Bankers' Compliance Group® Presents

Bank Secrecy Act Compliance

October 2025

Aldrich & Bonnefin is pleased to invite you to attend our full-day Bank Secrecy Act Compliance Seminar. Our discussion will include the status of the various beneficial ownership information (BOI) rules as they pertain to covered reporting companies, access to BOI reported to FinCEN and the continuing obligation of financial institutions to collect BOI at account opening.

We will go through the recent FinCEN and federal banking agencies exemption order providing relief from the requirement that banks obtain TIN information directly from a customer prior to opening an account.

During this Seminar, we will also cover in detail customer due diligence (CDD) expectations with a focus on providing services to high-risk customers, including the need to apply a risk-based approach to assess customer relationships.

Finally, we will discuss recent BSA developments, such as BSA enforcement actions, that may impact on the BSA compliance activities of financial institutions.



SEMINAR HIGHLIGHTS

- TIN collection under Customer Identification Program Rule
- Status of Beneficial ownership rulemaking under the Corporate Transparency Act
- Proposed rules from FinCEN and the federal banking agencies' on AML risk assessment
- Customer due diligence obligations and expectations
- Conducting due diligence on high-risk customers
- Recent BSA enforcement actions

We have scheduled only
Recording Playbacks with
Live Q&As for this Seminar.

WHO SHOULD ATTEND?

Commercial loan officers, loan processors, chief credit officers, credit administrators, compliance auditors, compliance managers and staff, and in-house counsel



Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin, PLC. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, electronic banking, treasury services, legal processes, right to financial privacy laws, negotiable instruments and new accounts.



Anne M. McEvilly, Esq., is a principal of Aldrich & Bonnefin, PLC, specializing in regulatory compliance, including banking operations, treasury services and vendor management and contract negotiations. Ms. McEvilly is co-manager of the firm's Operations & FinTech Practice Group. Prior to joining the firm, she was in-house counsel to Toyota and Lexus Financial Services and also counsel to the Resolution Trust Corporation. Prior to practicing law, she worked with California Federal Bank. Anne graduated from Whittier College School of Law and also received her BA from California State University, Long Beach.

ALDRICH
&
BONNEFIN

Professional Law Corporation*

BANKERS' COMPLIANCE GROUP®

phone: 800.742.3600

fax: 949.474.0617

Email: info@bankerscompliancegroup.com



* Janet Bonnefin has retired from the firm

SEMINAR RECORDING PLAYBACKS - BCG MEMBERS ONLY

(Continuing education credit will be provided only to the registrant)

	DATE AND TIME	REGISTRATION CLOSE DATE
RECORDING PLAYBACK #1	Wednesday, October 15, 2025 8:30 a.m. - 3:00 p.m.	Friday, October 10, 2025, 12:00 p.m.
RECORDING PLAYBACK #2	Tuesday, October 21, 2025 8:30 a.m. - 3:00 p.m.	Thursday, October 16, 2025, 12:00 p.m.
RECORDING PLAYBACK #3	Thursday, October 23, 2025 8:30 a.m. - 3:00 p.m.	Monday, October 20, 2025, 12:00 p.m.
RECORDING PLAYBACK #4	Wednesday, October 29, 2025 8:30 a.m. - 3:00 p.m.	Friday, October 24, 2025, 12:00 p.m.

LOGIN INFORMATION: Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, we are updating sections of BCG Standard Procedures Manual #21, "Bank Secrecy Act Compliance." BCG Members will receive the updated SPM through their membership subscription to Compliance Companion® by the first week of October 2025. As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Recording Playback.

ACCREDITATION

(Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: "Bank Secrecy Act Compliance" has been submitted to ABA Professional Certifications for CE credit review and is pending approval. Once we receive notification of the credit approval, we will notify attendees.

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **5.5** hours of CPE Continuing Education credit.