

On-Demand

2025

Webinar

Documenting Your Borrowers

Originally presented on
Tuesday, June 24
(2.0 hours)

Presented by
Joel N. Cook, Esq.



WHO SHOULD ATTEND?

Loan officers, Documentation specialists,
In-house attorneys, Compliance officers,
New accounts personnel

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC*

With all the different types of business entities, lenders have challenges in properly identifying their borrowers and understanding their legal structures. Customer Identification Program (CIP) requirements and the Beneficial Ownership Rule impose specific regulatory requirements for identifying borrowers. Failure to properly identify a borrower may not only impair the lender's security interest and put the collectability of the entire loan at risk due to UCC Article 9's requirements, but could also result in a compliance violation.

This Webinar will address these issues in a "workshop" format, providing checklists for the types of documents that can be obtained from various types of business entities.

Highlighted areas will include:

- What are the due diligence "best practices" for each type of entity?
- How do Customer Identification Program (CIP) rules affect lending procedures and what are the frequently asked questions?
- What are the due diligence issues that arise under UCC Article 9?
- What are the differences between sole proprietorships, corporations, LLCs, and partnerships?
- What about the impact of the Beneficial Ownership Rule?
- Best Practices Checklists

ON-DEMAND STREAMING AVAILABLE

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* Janet Bonnefin has retired from the firm.

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ON-DEMAND WEBINAR

This Webinar was originally presented on June 24, 2025. There will be no live Q&A sessions but the recording does include the recorded Q&A sessions. This program is 2.0 hours in length.

Member Status	On-Demand Pricing
BCG Member	\$335.00
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ACCREDITATION

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