

On-Demand

2023

Webinar

Handling Subpoenas

Originally presented on
Tuesday, May 23, 2023
(2.0 hours)

Presented by

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WHO SHOULD ATTEND?

Operations officers, Personnel who handle legal processes, Compliance Officers, In-house Counsel, Branch Managers, and New accounts personnel

Presented by Counsel for Bankers' Compliance Group®
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The rules for responding to subpoenas and other government requests for information vary significantly, thereby making, it difficult to determine whether a financial institution should comply with a particular legal process. Many of the difficulties in properly producing the documents and records requested can also be attributed to overly broad or vague requests. For obvious reasons this can lead to confusion and claims against a financial institution for failing to respond properly.

This Webinar will focus on dealing with subpoenas served by private parties as well as those served by state and federal government agencies. Our discussion will include a detailed review of some of the more common legal process forms, with an emphasis on those areas of the forms financial institutions should pay particular attention to as well as the specific terminology used in describing the requested records.

HIGHLIGHTS

- Deposition subpoenas for production of business records
- Subpoena duces tecum
- State and federal Right to Financial Privacy Acts
- State and federal grand jury subpoenas
- IRS summons for records
- SEC summons
- Search warrants

ON-DEMAND STREAMING AVAILABLE

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&
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* Janet Bonnefin is retired from the practice of law with the firm.

Best Practices for Vendor Management — On-Demand

ON-DEMAND WEBINAR

This Webinar was originally presented on May 23, 2023. There will be no live Q&A sessions but the recording does include the recorded Q&A sessions. This program is 2.0 hours in length.

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|----------------|-------------------|
| BCG Member | \$335 |
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