



bankers' compliance group®

JULY 2014 BCG TELEPHONE BRIEFING

BCG MONTHLY TELEPHONE BRIEFING

Friday, July 18, 2014

12:00 - 1:30 p.m.

(with live Q&A)

ALDRICH BONNEFIN & MOORE, PLC

Regulation O and Insider Transactions Update

Extensions of credit to bank insiders are subject to strict rules under Regulation O. In addition, the Dodd-Frank Act added some new requirements relating to other transactions between financial institutions and their insiders, including directors, executive officers, principal shareholders and their related interests. In this presentation, we will cover the basic rules under Regulation O and some additional insider rules under California and federal law. We will also discuss new federal laws imposing certain standards on insider lending and non-lending transactions.

Affiliate Transactions Under Regulation W

To protect against a depository institution suffering losses in transactions with affiliates, Congress included, as part of the Federal Reserve Act, Sections 23A and 23B which impose certain limitations and requirements on an institution's transactions with affiliated companies. In 2002, the Federal Reserve Board adopted Regulation W to implement the limitations and requirements of Sections 23A and 23B. In this presentation we will review the rules of Regulation W and discuss how these rules impact an institution's affiliate transactions.

Counsel to Bankers' Compliance Group®

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Aldrich Bonnefin & Moore, PLC is hosting a Monthly Telephone Briefing for Bankers' Compliance Group® members on **Friday, July 18, 2014**. This meeting will be presented live and will include two question and answer sessions. Members can ask questions by telephone or email. Each member that registers for the Monthly Telephone Briefing will be given a password and instructions, which will allow them to call in to the Briefing.

Organize Your Participants

BCG Main Contacts are encouraged to organize multiple attendees to participate at one location or several locations concurrently. In this manner, several attendees can be accommodated in one conference room with a speakerphone. All Briefing connections are included in your BCG Membership.

Download Handouts

To download the Handout(s) for the Monthly Telephone Briefing, BCG Members can go to **www.bankerscompliancegroup.com/monthly-telephone-briefing.php** the day before the Briefing. All registrants will receive an email notification when the Handouts are posted.

Not Able to Listen to the Program? Log on to Our Website!

Should you or others at your institution miss the broadcast, you can tune into our streaming audio available on the BCG website, one week after the Briefing, at **[www.bankerscompliancegroup.com/ listen-while-you-work.php](http://www.bankerscompliancegroup.com/listen-while-you-work.php)**. There will also be a package available which includes a CD of the meeting and the Handout(s). The package is available upon request at no additional cost. Contact Laura Jagerman at 800-742-3600 or **LJagerman@ABMLawFirm.com** to request this package.

REGISTER TODAY AT:

<http://register.bankerscompliancegroup.com/subadmin/>