

General Banking Operations

April 2024

Aldrich & Bonnefin, PLC is pleased to invite you and your operations staff to attend a Seminar addressing operations compliance. We will discuss a number of important banking operations compliance areas.

The presentation will include issues and processes triggered when an institution is notified of an account holder's death. This death of depositor discussion will include small estate processes, as well as estates subject to probate.

A review of the FDIC's new final rule on official signs, advertising requirements, false advertising, misrepresentation of insured status, and misuse of the FDIC's name or logo, will also be covered.

We will also discuss powers of attorney, including content requirements and related risks (general, durable, statutory, and trustee scenarios).

Lastly, we will address recent operations "hot topics," such as overdraft and NSF fee regulatory developments, and the banking agencies' third-party risk management guidance.

WHO SHOULD ATTEND?

Please invite your operations officers, personnel who handle legal processes, compliance officers, in-house counsel, branch managers and new accounts personnel.

We have scheduled only
Recording Playbacks with Live Q&As
for this Seminar.



Speaker: Anne McEvilly Esq., is a principal of Aldrich & Bonnefin PLC, specializing in regulatory compliance, including banking operations, treasury services and vendor management and contract negotiations. Prior to joining the firm, she was in-house counsel to Toyota and Lexus Financial Services and also counsel to the Resolution Trust Corporation. Prior to practicing law, she worked with California Federal Bank. Anne graduated from Whittier College School of Law and also received her BA from California State University, Long Beach.



Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin, PLC. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester manages the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, negotiable instruments, electronic banking, new accounts, treasury services, right to financial privacy laws, and legal processes.

SEMINAR HIGHLIGHTS

- Detailed death of depositor processes
- "New" FDIC's final rule impacting signage, advertisements and highlighting false advertising concerns
- Powers of attorney (general, durable and statutory)
- Operations "hot topics"



SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY
(Continuing education credit will be provided only to the registrant)

	PLAYBACK DATE AND TIME	REGISTRATION CLOSE DATE
RECORDING PLAYBACK #1	Wednesday, April 10, 2024, 8:30 a.m. - 3:00 p.m.	Friday, April 5, 2024, 12:00 p.m.
RECORDING PLAYBACK #2	Wednesday, April 17, 2024, 8:30 a.m. - 3:00 p.m.	Friday, April 12, 2024, 12:00 p.m.
RECORDING PLAYBACK #3	Thursday, April 18, 2024, 8:30 a.m. - 3:00 p.m.	Monday, April 15, 2024, 12:00 p.m.
RECORDING PLAYBACK #4	Wednesday, April 24, 2024, 8:30 a.m. - 3:00 p.m.	Friday, April 19, 2024, 12:00 p.m.

LOGIN INFORMATION: Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, Standard Procedures Manual #09, *General Banking Operations*, will be updated. BCG members will receive the updated SPM through their membership subscription to Compliance Companion® by the first week of April 2024. As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Seminar.

ACCREDITATION
(Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: American Bankers Association (ABA) Professional Certifications has approved General Banking Operations for: **5.75 CRCM APPROVED NON-ABA CE** search for training will appear as: Aldrich & Bonnefin, PLC | General Banking Operations.

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.