

Aldrich & Bonnefin, PLC*, Counsel for Bankers' Compliance Group® Presents

NEW ACCOUNTS DOCUMENTATION & COMPLIANCE

April 2026

Aldrich & Bonnefin invites you and your operations and compliance staffs to attend a Seminar covering New Accounts Documentation & Compliance. Having proper new account policies and procedures can help financial institutions prevent or at least reduce the risk of fraud and other losses as well as compliance violations.

This Seminar will discuss the documents that institutions will want to review during the account-opening process for individuals, businesses (such as corporations, partnerships, and LLCs) and court-appointed fiduciaries (for example, decedent estates, conservatorships and guardianships). There will also be a detailed discussion covering the opening and maintenance of accounts for family trusts.

We will include an overview of best practices for opening accounts for non-resident alien depositors, including a review of IRS Forms W-8.

WHO SHOULD ATTEND?

Please invite your operations staff, compliance officers and auditors, new accounts personnel, in-house counsel and BSA department personnel

**We have scheduled only
Recording Playbacks with Live Q&A for this Seminar.
No in-person Seminar locations will be held.**



Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin, PLC. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, electronic banking, treasury services, legal processes, right to financial privacy laws, negotiable instruments and new accounts.



SEMINAR HIGHLIGHTS

- Documenting accounts
- Personal accounts
- Business accounts
- Decedent estates
- Conservatorships
- Guardianships
- Establishing accounts for fiduciaries, including formal family trusts
- Opening accounts for non-resident alien depositors, including IRS Forms W-8



Anne McEvilly Esq., is a principal of Aldrich & Bonnefin, PLC, specializing in regulatory compliance, including banking operations, treasury services and vendor management and contract negotiations. Ms. McEvilly is co-manager of the firm's Operations & FinTech Practice Group. Prior to joining the firm, she was in-house counsel to Toyota and Lexus Financial Services and also counsel to the Resolution Trust Corporation. Prior to practicing law, she worked with California Federal Bank. Anne graduated from Whittier College School of Law and also received her BA from California State University, Long Beach.



* Janet Bonnefin has retired from the firm.

BANKERS' COMPLIANCE GROUP®

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SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY
(Continuing education credit will be provided only to the registrant)

		REGISTRATION CLOSE DATE
RECORDING PLAYBACK #1	Wednesday, April 8, 2026, 8:30 a.m. - 3:00 p.m.	Friday, April 3, 2026, 12:00 p.m.
RECORDING PLAYBACK #2	Friday, April 10, 2026, 8:30 a.m. - 3:00 p.m.	Tuesday, April 7, 2026, 12:00 p.m.
RECORDING PLAYBACK #3	Tuesday, April 14, 2026, 8:30 a.m. - 3:00 p.m.	Thursday, April 9, 2026, 12:00 p.m.
RECORDING PLAYBACK #4	Tuesday, April 21, 2026, 8:30 a.m. - 3:00 p.m.	Thursday, April 16, 2026, 12:00 p.m.

LOGIN INFORMATION: Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the PIN is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, we are updating BCG Standard Procedures Manual #2, *New Accounts & Documentation Compliance*. BCG Members will receive the updated SPM through their membership subscription to Compliance Companion® by the first week of April 2026. As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Recording Playback.

ACCREDITATION

(Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: "New Accounts Documentation & Compliance" has been submitted to ABA Professional Certifications for CE credit review and is pending approval. Once we receive notification of the credit approval, we will notify attendees. For more information, contact info@bankerscompliancegroup.com.

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **5.5** hours of CPE Continuing Education credit.