

Aldrich & Bonnefin, PLC, Counsel for Bankers' Compliance Group® Presents

# Financial Privacy & Information Security

August 2019

**F**inancial privacy, information sharing and information security remain high priorities in the minds of the public and the regulators. GLBA privacy notices and concerns over cybersecurity and security breaches remain important areas of risk. In addition, the recently adopted California Consumer Privacy Act (CCPA) adds a new layer of complexity.

This Seminar will address: financial information privacy under the Gramm-Leach-Bliley Act and California law (SB 1), including the scope and proper implementation of opt-out rights; financial information security and safeguarding practices; the information-sharing aspects of the Fair Credit Reporting Act; and customer notice obligations. The Seminar will also cover the banking agencies' expectations for financial institutions to increase awareness of the numerous cybersecurity risks, and also assess and mitigate these risks.

Finally, we will address the new CCPA which becomes effective on January 1, 2020 and (if issued) the Attorney General's expected regulations to implement the CCPA.



**SPEAKER: Robert K. Olsen, Esq.**, is a principal of Aldrich & Bonnefin, specializing in consumer financial services, privacy and other regulatory compliance. Mr. Olsen, who has practiced law for 35 years, joined Aldrich & Bonnefin in 1996 and previously practiced banking law with Morrison & Foerster. He was the 2016 recipient of the California Bankers Association's Frandzel Award for outstanding outside counsel.



**SPEAKER: Keith R. Forrester, Esq.**, is a principal of Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, negotiable instruments, electronic banking, new accounts, treasury services, right to financial privacy laws and legal processes.

## SEMINAR HIGHLIGHTS

### Morning Session

- What information is protected by GLBA?
- What information may you share, when and why?
- Using consumers' information for marketing purposes
- Affiliate sharing
- GLBA privacy notices: using the model form
- Abolition of annual privacy notices
- California Financial Privacy Law – SB 1 and its opt out requirement
- NEW California Consumer Privacy Act

### Afternoon Session

- Information security and safeguarding
- Handling intrusions and customer notification
- Emerging risks in cybersecurity
- Regulatory notices and guidance on cybersecurity and cyber attacks
- FFIEC Information Technology Examination Handbook



### WHO SHOULD ATTEND?

Please invite your privacy officers, compliance officers, marketing personnel, IT managers and chief risk officers.

ALDRICH  
&  
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Our *Financial Privacy & Information Security Seminar* will be held at the following locations.

Note: Hotel locations can vary from month to month.

LOCATIONS	DATE AND TIME	HOTEL INFO	PARKING INFO
SACRAMENTO	Friday, Aug. 2, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Mon. 7/29	Sheraton Grand Sacramento Hotel 1230 J Street, (916) 447-1700	Validated self-parking is available in garage on 13th Street, between I and J Streets. Valet-parking not validated.
LOS ANGELES	Tuesday, Aug. 6, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Wed. 7/31	<b>(TEMPORARY LOCATION)</b> Westin Los Angeles Airport 5400 West Century Blvd., (310) 216-5858	We validate for self-parking only.
MONROVIA	Thursday, Aug. 8, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Fri. 8/2	Courtyard Marriott 700 W. Huntington Drive, (626) 357-5211	Free self-parking is available.
GARDEN GROVE	Friday, Aug. 9, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Mon. 8/5	Anaheim Marriott Suites 12015 Harbor Blvd., (714) 750-1000	Free self-parking is available.
SANTA BARBARA	Tuesday, Aug. 13, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Wed. 8/7	Four Seasons Resort, The Biltmore 1260 Channel Drive, (805) 969-2261	Free street parking is available.
WOODLAND HILLS	Wednesday, Aug. 14, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Thurs. 8/8	Warner Center Marriott 21850 Oxnard Street, (818) 887-4800	We validate for self-parking only.
ONTARIO	Friday, Aug. 16, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Mon. 8/12	Ontario Doubletree 222 North Vineyard Ave., (909) 937-0900	Free self-parking is available.
SAN JOSE	Tuesday, Aug. 20, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Wed. 8/14	San Jose Marriott 301 South Market Street, (408) 280-1300	We validate for hotel valet-parking only.
SAN FRANCISCO	Wednesday, Aug. 21, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Thurs. 8/15	Palace Hotel 2 New Montgomery Street, (415) 512-1111	We validate for hotel valet-parking only.

### SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY

	DATE AND TIME	LOGIN INFORMATION
RECORDING PLAYBACK	Thursday, Aug. 22, 8:30 a.m. - 3:00 p.m. Registration closes at noon on Fri. 8/16	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

### REGISTRATION

**MEMBERS:** To register for this Seminar, please contact your institution's Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis. If you haven't registered online with us before, contact Paige Tyler at 800-742-3600 or [info@bankerscompliancegroup.com](mailto:info@bankerscompliancegroup.com) for assistance.

**NON-MEMBERS:** The non-member registration fee is **\$750** for the first person attending from an institution and **\$550** for each additional person from the same institution. To attend this Seminar, pre-registration and confirmation is mandatory. **Non-members may pay by credit card or check.** To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029** and indicate the event you are registering for.

**Cancellation Policy:** We will fully refund your registration fee if we receive your written cancellation request AT LEAST five business days prior to the Seminar date. If we receive your cancellation request after this deadline but before the Seminar date, we will charge you a \$50 administrative fee and refund the balance of your registration fee. If you do not cancel before the Seminar date, your registration fee is non-refundable.

### MATERIALS

For this Seminar, Standard Procedures Manual #20, "Financial Privacy & Information Security" will be updated. Each member institution will receive via email a link to a downloadable PDF of the Standard Procedures "E-Manual." Non-member attendees will receive one hard copy manual, which will be mailed to the attendee by the end of the Seminar month. All registered attendees will receive a Discussion Outline at the seminar. Members Only may purchase additional hard copies of **SPM #20** during **August 2019** for **\$30.00** per copy plus shipping charges and applicable sales tax.

### ACCREDITATION

(Continuing education credit will provided only to the registrant)

**MCLE:** Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

**CRCM:** "ABA Professional Certifications is dedicated to promoting the highest standards of performance and ethics within the financial services industry. Financial Privacy & Information Security has been approved for 5.5 CRCM credits. This statement is not an endorsement of this program or its sponsor." Certification holders must report these credits at [aba.csod.com](http://aba.csod.com).

**CPE:** Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.