

# 37<sup>TH</sup> ANNUAL MANAGEMENT & DIRECTORS SEMINAR

## New Expectations and Challenges in California Banking

Anaheim Marriott Hotel – December 7

San Francisco Palace Hotel – December 9

Recording Playback (BCG Members Only) – December 14

# 2023

*Live In-Person Seminars will be held at the Anaheim Marriott and San Francisco Palace. Non-members are welcome at the live seminars. Recording Playback (without live Q&As) for BCG Members only.*

**Additional Topics to be discussed:**

- New Expectations in Corporate Governance
- Consumer Lending Regulatory Update
- Banking Operations & FinTech Hot Topics
- Commercial Lending Update
- Top 10 Risk Management Issues for 2023

**Other Speakers:**

Mark E. Aldrich, Keith R. Forrester, Anne M. McEvilly, Stephanie A. Shea & Joel N. Cook  
Principals of Aldrich & Bonnefin



## CALIFORNIA BANKING TRENDS

### KEYNOTE SPEAKERS:



**Kathy L. Moe**

Regional Director,  
Federal Deposit Insurance Corporation  
Ms. Moe will be speaking at both the Anaheim and San Francisco locations.

(Due to FDIC policy, Regional Director Moe's presentation will not be included in the Recording Playback)



**Aaron Prosperi**

Deputy Commissioner, Banking  
California Department of Financial  
Protection and Innovation

Mr. Prosperi will be speaking at both the Anaheim and San Francisco locations.

(Deputy Commissioner Prosperi's presentation will be included in our Recording Playback)

## MATERIALS

**BCG Members:** We are updating Standard Procedures Manual #17, *Managing Financial Institutions*, in connection with this Seminar. Each member institution will receive via email a link to a downloadable PDF of the Standard Procedures “E-Manual.” Non-members will not receive the SPM 17 manual.

All registered attendees will receive a Discussion Outline. In person Seminar Registrants will receive a hardcopy and Recording Playback Registrants will receive an email approximately two business days prior to the Playback that will include instructions on how to download the Discussion Outline.

## REGISTRATION (Playback Registration is for BCG Members only)

**BCG Members:** Seating is limited, so register early by contacting your institution’s BCG Main Contact, or if you are authorized, log in at <https://register.bankerscompliancegroup.com>.

**For the playback,** members are allowed to register an unlimited number of attendees.

**For in-person locations,** members can register up to 20 attendees.

**Non-members:** The non-member registration fee is \$1,250 for the each registrant. Non-members will not receive the SPM 17 manual. To attend this Seminar, pre-registration and confirmation is mandatory. Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029 and indicate the event you are registering for.

## REGISTRATION DEADLINES

Anaheim Marriott – Wednesday, November 30 ♦ San Francisco Palace – Friday, December 2 ♦ Recording Playback – Friday December 9.

**Cancellation Policy for Non-members:** We will fully refund your registration fee if we receive your written cancellation request on or before the applicable Registration Deadline listed above. If we receive your cancellation request after this deadline but before the Seminar date, we will charge you a \$50 administrative fee and refund the balance of your registration fee. If you do not cancel before the Seminar date, your registration fee is nonrefundable.

## SEMINAR SCHEDULE

The Seminar will run from 8:30 a.m.—3:00 p.m., with a short morning break and a break for lunch.

## HOTEL INFORMATION

### San Francisco Palace Hotel

A standard room is \$300 (plus taxes) per night. This rate is available for online booking only until Thursday, November 17. After this date, you will receive the best rate available at the time of booking. To make a reservation, please click [here](#).

2 New Montgomery Street San Francisco, California 94105

### Anaheim Marriott

A standard room is \$165 (plus taxes) per night. The rate is available for online booking only until Friday, November 11. To make a reservation, please click [here](#).

700 West Convention Way, Anaheim, CA 92802, US

**Hotel parking** will be validated for daytime self and valet-parking only for at each location. Overnight hotel parking will not be validated.

## ACCREDITATION (Continuing education credit will be provided only to the registrant)

**MCLE:** Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of 4.75 hours.

**CRCM:** American Bankers Association (ABA) Professional Certifications has approved Management & Directors: New Expectations and Challenges in California Banking for 6.5 CRCM credits. APPROVED NON-ABA CE search for training will appear as: Aldrich & Bonnefin, PLC | Management & Directors: New Expectations and Challenges in California Banking

**CPE:** Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. Certified Public Accountants and Public Accountants may obtain continuing education credit. This activity is eligible for 4.75 hours of CPE Continuing Education credit.

**Recording Playback:** Due to a shorter presentation, Continuing Education credits will be different than the above amounts listed. Contact the law firm for further information ([info@bankerscompliancegroup.com](mailto:info@bankerscompliancegroup.com)).

## REFRESHMENTS AND LUNCH

Continental breakfast and sit-down lunch will be provided. If an attendee has special dietary needs, such as a vegetarian meal, or a disability requiring accommodation, please contact Katrina Jensen at [info@bankerscompliancegroup.com](mailto:info@bankerscompliancegroup.com) or 800-742-3600.

## SPECIAL DRAWING

We will hold a drawing at the end of each in-person Seminar for three excellent prizes. You must be present to win. Drawings will only be held at the live events.