

Aldrich & Bonnefin, PLC, Counsel for Bankers' Compliance Group® Presents

# Negotiable Instruments Law

January 2023

**A**ldrich & Bonnefin is pleased to invite you and your operations personnel to attend our Negotiable Instruments Law Seminar.

We will review the requirements for check signatures and endorsements, including the various types of fraud claims that may be asserted against both depository and payor banks.

We will also navigate the amendments to Regulation CC which now address in detail electronic check collection. This detailed discussion will include Regulation CC's warranties and indemnities for electronic check collection as well as the presentment and return requirements. We will also discuss the interrelationship between Regulation CC, the UCC and the ECCHO rules for those checks which are collected outside of the federal reserve system.

Finally, we will cover stop payment rights, including the rules governing lost, stolen or destroyed cashier's checks.

## WHO SHOULD ATTEND?

Please invite your operations personnel, compliance staff, in-house counsel

**We have scheduled only Recording Playbacks with Live Q&A for this Seminar. No in-person Seminar locations will be held.**



**Keith R. Forrester, Esq.**, is a principal of Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved in advising financial institutions on a variety of operations matters, including new accounts, as well as the California and Federal right to financial privacy laws. Mr. Forrester manages the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking, and legal processes.



## SEMINAR HIGHLIGHTS

- Electronic check collection:
  - Regulation CC requirements
  - Applicability of ECCHO Rules
- Liability for forged and altered checks
- Account holders' stop payment rights
- Lost, stolen or destroyed cashier's checks
- Regulation CC funds availability adjustments



**Anne McEvilly Esq.**, a principal of Aldrich & Bonnefin PLC, is its President and Chief Executive officer. She specializes in regulatory compliance, including banking operations, treasury services and vendor management and contract negotiations. Prior to joining the firm, Anne was in-house counsel to Toyota and Lexus Financial Services and also counsel to the Resolution Trust Corporation. Prior to practicing law, she worked for California Federal Bank. Anne graduated from Whittier College School of Law and received her BA from California State University, Long Beach.

ALDRICH  
&  
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## SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY

(Continuing education credit will be provided only to the registrant)

		REGISTRATION CLOSE DATE
<b>RECORDING PLAYBACK #1</b>	Wednesday, January 11, 2023, 8:30 a.m. - 3:00 p.m.	Friday, January 6, 2023, 12:00 p.m.
<b>RECORDING PLAYBACK #2</b>	Thursday, January 12, 2023, 8:30 a.m. - 3:00 p.m.	Monday, January 9, 2023, 12:00 p.m.
<b>RECORDING PLAYBACK #3</b>	Tuesday, January 17, 2023, 8:30 a.m. - 3:00 p.m.	Wednesday, January 11, 2023, 12:00 p.m.
<b>RECORDING PLAYBACK #4</b>	Wednesday, January 18, 2023, 8:30 a.m. - 3:00 p.m.	Thursday, January 12, 2023, 12:00 p.m.
<b>RECORDING PLAYBACK #5</b>	Wednesday, January 25, 2023, 8:30 a.m. - 3:00 p.m.	Friday, January 20, 2023, 12:00 p.m.

**LOGIN INFORMATION:** Registrants will receive an email two business days prior to the Recording Playback Date with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

### REGISTRATION

**MEMBERS:** To register for this Seminar, please contact your institution's BCG Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

### MATERIALS

For this Seminar, Standard Procedures Manual #07, "Negotiable Instruments Law," will be updated. BCG members will receive the updated SPM through their membership subscription to Compliance Companion® by the first week of February 2023. As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Seminar.

### ACCREDITATION

(Continuing education credit will be provided only to the registrant)

**MCLE:** Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

**CRCM:** American Bankers Association (ABA) Professional Certifications has approved Negotiable Instruments Law for: 5.75 CRCM credits. APPROVED NON-ABA CE search for training will appear as: Aldrich & Bonnefin, PLC | Negotiable Instruments Law.

**CPE:** Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.