

Legal Processes

June 2020

Aldrich & Bonnefin, PLC is pleased to invite you to attend our Seminar on Legal Processes. The program will focus on levies and subpoenas served by private parties as well as those served by state and federal government agencies.

Our discussion will include the fundamentals of processing a levy and the important principles for determining whether a business or personal account is subject to levy. We will also cover the new California law granting an automatic exemption for all consumers.

In addition to levies and executions, we will discuss requests for customers' financial records pursuant to civil subpoenas as well as government requests under the California and Federal Right to Financial Privacy laws.

This Seminar is intended to be of benefit to individuals with all levels of experience.

WHO SHOULD ATTEND?

Please invite your operations officers, personnel who handle legal processes, compliance officers, in-house counsel, branch managers and new accounts personnel.



SPEAKER: Keith R. Forrester, Esq., is a principal with Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of operations matters, including new accounts, as well as the California and Federal right to financial privacy laws. Mr. Forrester is a member of the firm's

FinTech Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking and legal processes.



SEMINAR HIGHLIGHTS

Property Subject to Levy

- ... Individual accounts
- ... Business accounts
- ... Fiduciary accounts

Writs and Levies

- ... Writs of execution
- ... Government levies
- ... New California automatic exemption rule

Right to Financial Privacy

- ... Civil subpoenas
- ... State and federal RFPAs

We have scheduled only
Recording Playbacks for this Seminar.
No in-person seminar locations are scheduled

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&
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SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY
(Continuing education credit will be provided only to the registrant)

	DATE AND TIME	LOGIN INFORMATION
RECORDING PLAYBACK	Wednesday, June 10 , 2020 , 8:30 a.m. - 3:00 p.m. Registration closes at noon on Fri., 6/5	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.
RECORDING PLAYBACK #1	Friday, June 12 , 2020 , 8:30 a.m. - 3:00 p.m. Registration closes at noon on Tues., 6/9	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.
RECORDING PLAYBACK #2	Tuesday, June 16 , 2020 , 8:30 a.m. - 3:00 p.m. Registration closes at noon on Thurs., 6/11	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.
RECORDING PLAYBACK #3	Thursday, June 18 , 2020 , 8:30 a.m. - 3:00 p.m. Registration closes at noon on Mon., 6/15	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.
RECORDING PLAYBACK #4	Tuesday, June 23 , 2020 , 8:30 a.m. - 3:00 p.m. Registration closes at noon on Thurs., 6/18	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution’s BCG Main Contact or, if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select “Register for Events.” We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, Standard Procedures Manual #4 “Legal Processes,” will be updated. Each member institution will receive via email a link to a downloadable PDF of the Standard Procedures “E-Manual.” Non-member attendees will receive one hard copy manual, which will be mailed to the attendee by the end of the Seminar month. All registered attendees will receive a Discussion Outline at the Seminar. Members Only may purchase additional hard copies of SPM #4 during June 2020 for \$70.00 per copy plus shipping charges and applicable sales tax.

ACCREDITATION

(Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: “Legal Processes” has been submitted to ABA Professional Certifications for CE credit review and is pending approval. Once the review is complete, we will notify attendees. Contact the law firm for further information regarding the status of our request (info@bankerscompliancegroup.com).

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.