

Financial Privacy & Information Security

May 2021

Financial privacy, information sharing and information security remain high priorities in the minds of the public and the regulators. GLBA and CCPA privacy protections and concerns over cybersecurity and security breaches remain important areas of risk. In addition, the recently adopted California Privacy Rights Act (CPRA) adds a new layer of complexity.

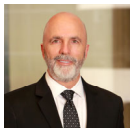
This Seminar will address the financial privacy requirements under GLBA, CCPA and the new CPRA, including the various required notices, disclosures, and consumers' rights regarding their own information. This discussion will also include the specific information protected by GLBA, as well as GLBA's impact on the CCPA. Additionally, while the CPRA will not be effective officially until January 1, 2023, there are some notable exceptions that are operative now and others that will require attention as soon as January 1, 2022.

On the information security front, the Seminar will cover the banking agencies' expectations for financial institutions to increase awareness of the numerous cybersecurity risks and also to assess and mitigate those risks. We will also discuss the latest in cyberattacks as well as managing security breaches and customer notifications.

WHO SHOULD ATTEND?

Please invite your privacy officers, compliance officers, marketing personnel, IT Managers, and chief risk officers

We have scheduled only Recording Playbacks with Live Q&As for this Seminar.



Keith R. Forrester, Esq., is a principal of Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of general banking operations and compliance matters. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as Bank Secrecy Act compliance, negotiable instruments, electronic banking, new accounts, treasury services, right to financial privacy laws and legal processes.

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SEMINAR HIGHLIGHTS

Financial Privacy

- ... What information is protected by GLBA?
- ... What information may you share, when and why?
- ... GLBA privacy notices: using the model form
- ... California Financial Privacy Law – SB 1 and its opt out requirement
- ... California Consumer Privacy Act
- ... NEW California Privacy Rights Act of 2020

Information Security

- ... Handling intrusions and customer notifications
- ... Emerging risks in cybersecurity
- ... Regulatory notices and guidance on cybersecurity and cyberattacks
- ... FFIEC Information Technology Examination Handbook



John M. Davis, Esq., an associate of Aldrich & Bonnefin, joined the firm in May 2017 as a Summer Associate and remained with the firm as a law clerk until he became an Associate Attorney in June 2019. Mr. Davis graduated from Whittier Law School, Summa Cum Laude in December 2018. While at Whittier he served as a fellow at the school's Center for Intellectual Property Law. He received his bachelor's degree from Boise State University in 2013. In 2019, Mr. Davis co-authored the summary of California Financial Institution Regulation for the online Practical Law publication of Thomson Reuters.

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SEMINAR RECORDING PLAYBACK - BCG MEMBERS ONLY

(Continuing education credit will be provided only to the registrant)

	DATE AND TIME	LOGIN INFORMATION
RECORDING PLAYBACK #1	Wednesday, May 12, 2021, 8:30 a.m. - 3:00 p.m. Registration closes at noon on Fri., 05/07	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.
RECORDING PLAYBACK #2	Friday, May 14, 2021, 8:30 a.m. - 3:00 p.m. Registration closes at noon on Tues., 05/11	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.
RECORDING PLAYBACK #3	Tuesday, May 18, 2021, 8:30 a.m. - 3:00 p.m. Registration closes at noon on Thurs., 05/13	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.
RECORDING PLAYBACK #4	Thursday, May 20, 8:30 a.m. - 3:00 p.m. Registration closes at noon on Mon., 05/17	Registrants will receive an email two business days prior to the Seminar Recording Playback with the login information. Registrants also will receive a second email when the pin is activated and the Seminar materials are available to download. There will be live question and answer sessions both during and at the end of the presentation.

REGISTRATION

MEMBERS: To register for this Seminar, please contact your institution's Main Contact, or if you are authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis.

MATERIALS

For this Seminar, Standard Procedures Manual #20, "Financial Privacy & Information Security," will be updated. Each member institution will receive via email a link to a downloadable PDF of the Standard Procedures "E-Manual." As noted above, registered attendees will be able to download the Agenda and Discussion Outline prior to the Seminar. Members Only may purchase additional hard copies of SPM #20 during May 2021 for \$55.00 per copy plus shipping charges and applicable sales tax.

ACCREDITATION

(Continuing education credit will be provided only to the registrant)

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: American Bankers Association (ABA) Professional Certifications has approved Financial Privacy & Information Security for CRCM 5.75 CE credits. ABA Professional Certifications is dedicated to promoting the highest standards of performance and ethics within the financial services industry. This statement is not an endorsement of this program or its sponsor.

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE credit may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.