

Financial Privacy & Information Security

October 2017

Financial privacy, information sharing and information security remain high priorities in the minds of the public and the regulators. GLBA privacy notices and concerns over cybersecurity and security breaches remain important areas of risk.

In recent years financial institutions have become increasingly dependent on advanced technologies to conduct their business operations as well as to provide products and services and communicate with their customers. However, with the advances in technology comes increased security risk to the confidential information of both the financial institution and its customers. These cybersecurity threats can subject customers and financial institutions alike to significant financial and reputational loss.

This Seminar will address: financial information privacy under the Gramm-Leach-Bliley Act and California law (SB 1), including the scope and proper implementation of opt-out rights; financial information security and safeguarding practices; and the information-sharing aspects of the Fair Credit Reporting Act. The Seminar will also cover the banking agencies' expectations for financial institutions to increase awareness of the numerous cybersecurity risks, and also assess and mitigate these risks.

SPEAKER: Robert Olsen is a principal of Aldrich & Bonnefin, specializing in consumer financial services, privacy and other regulatory compliance. Mr. Olsen, who has practiced law for 34 years, joined Aldrich & Bonnefin in 1996 and previously practiced banking law with Morrison & Foerster. He was the 2016 recipient of the California Bankers Association's Frandzel Award for outstanding outside counsel.

SPEAKER: Keith R. Forrester is a principal with Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of operations matters, including new accounts, as well as the California and Federal right to financial privacy laws. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking and legal processes.

SEMINAR HIGHLIGHTS

Morning Session

- What information is protected by GLBA?
- What information may you share, when and why?
- Using consumers' information for marketing purposes
- Affiliate sharing
- GLBA privacy notices: using the model form
- Abolition (?) of annual privacy notices
- California Financial Privacy Law – SB 1 and its opt out

Afternoon Session

- Information security and safeguarding
- Handling intrusions and customer notification
- Emerging risks in cybersecurity
- Cybersecurity Assessment Tool (updated 2017) and FAQs
- Joint statements on cybersecurity and cyber attacks
- FFIEC Information Technology Examination Handbook



WHO SHOULD ATTEND?

Please invite your privacy officers, compliance officers, marketing personnel, IT managers and chief risk officers.

ALDRICH
&
BONNEFIN

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Our **Financial Privacy & Information Security Seminar** will be held at the following locations.

Note: Hotel locations can vary from month to month.

LOCATIONS	DATE AND TIME	HOTEL INFO	PARKING INFO
SACRAMENTO	Monday, Oct. 2, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Tues 9/26	Sheraton Grand Sacramento Hotel 1230 J St., (916) 447-1700	Validated self-parking is available in garage on 13th Street, between I and J Streets. Valet-parking not validated.
SAN FRANCISCO	Wednesday, Oct. 11, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Thurs 10/5	Palace Hotel 2 New Montgomery, (415) 512-1111	We validate for hotel valet-parking only.
SAN JOSE	Thursday, Oct. 12, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Friday 10/6	San Jose Marriott 301 South Market Street, (408) 280-1300	We validate for hotel valet-parking only.
GARDEN GROVE	Friday, Oct. 13 8:30 a.m. – 3:00 p.m. Registration closes at noon on Friday 10/6	Anaheim Marriott Suites 12015 Harbor Blvd., (714) 750-1000	Free self-parking is available.
SAN DIEGO	Tuesday, Oct. 17, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Wed. 10/11	Marriott Mission Valley 8757 Rio San Diego Dr., (619) 692-3800	We validate for self-parking only.
LOS ANGELES	Wednesday, Oct. 18, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Thurs. 10/12	Renaissance Los Angeles Airport (NEW) 9620 Airport Boulevard., (310) 337-2800	We validate for self-parking only.
MONROVIA	Friday, Oct. 20, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Monday 10/16	Courtyard Marriott 700 W. Huntington Drive, (626) 357-5211	Free self-parking is available.
SANTA BARBARA	Tuesday, Oct. 24, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Wed. 10/18	Four Seasons Resort, The Biltmore 1260 Channel Dr., (805) 969-2261	Free self-parking is available.
WOODLAND HILLS	Wednesday, Oct. 25, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Thurs. 10/19	Warner Center Marriott 21850 Oxnard St., (818) 887-4800	We validate for self-parking only.
ONTARIO	Friday, Oct. 27, 8:30 a.m. – 3:00 p.m. Registration closes at noon on Monday 10/23	Ontario Doubletree 222 North Vineyard Ave., (909) 937-0900	Free self-parking is available.

REGISTRATION

To register for this Seminar, please contact your institution's Main Contact, or if you are authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." We recommend that you register as soon as possible as registrations are accepted on a first-come, first-serve basis. If you haven't registered online with us before, contact Paige Tyler at 800-742-3600 or info@bankerscompliancegroup.com for assistance.

NON-MEMBERS: The non-member registration fee is **\$750** for the first person attending from an institution and **\$450** for each additional person from the same institution. To attend this Seminar, pre-registration and confirmation is mandatory. **Non-members may pay by credit card or check.** To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029** and indicate the event you are registering for. Non-member registration fees (**less \$50**) will be refunded only if cancellation notice is received **5** business days prior to the Seminar date.

MATERIALS

For this Seminar, Standard Procedures Manual #20 "Financial Privacy & Information Security" will be updated. Only those attendees designated by their institution will receive a Manual. All registered attendees will receive a Discussion Outline. Members Only may purchase additional hard copies of **SPM #20** during **October** for **\$40.00** per copy plus shipping charges and applicable sales tax.

ACCREDITATION

MCLE: Aldrich & Bonnefin, PLC certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing MCLE. This activity has been approved in the amount of **4.75** hours.

CRCM: Certified Regulatory Compliance Managers must submit a request for approval directly to the American Bankers Association in order to receive continuing education credit (<https://aba.csod.com/client/aba/default.aspx> and login). The activity may be eligible for **4.75** continuing education credits.

CPE: Aldrich & Bonnefin, PLC follows Continuing Education (CE) regulations as outlined in the California Accountancy Act. CE may be obtained for Certified Public Accountants and Public Accountants. This activity is eligible for **4.75** hours of CPE Continuing Education credit.