

On-Demand

2024

Webinar

Got Conflicts?
Learn How to
Recognize and
Control Them!

Originally presented on
Tuesday, January 30, 2024
(2.0 hours)

Presented by

Mark E. Aldrich, Esq.

Principal of Aldrich & Bonnefin, PLC



WHO SHOULD ATTEND?

Loan Officers, Chief Credit Officers, Private Bankers, Compliance Officers and staff, Credit Administrators, and Internal Auditors

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC*

This Webinar will provide a comprehensive discussion of the rules regarding conflicts of interest, insider transactions and other laws governing transactions with affiliates, executive officers, directors, and principal shareholders of financial institutions and their related interests. The program will cover the following topics:

- Conflicts of interest and codes of conduct
- Regulation O and loans to insiders
- Regulation W and transactions with affiliates
- California state laws regarding insider transactions
- Loans to credit union officials
- Bank Bribery Act and related laws
- Foreign Corrupt Practices Act

We will begin with an overview of fiduciary duties and transactions that could pose a conflict of interest, which should be addressed in your institution's Code of Conduct.

We will also discuss related issues regarding the indebtedness of executive officers and directors of related companies, such as bank holding companies and correspondent banks. Additionally, we will address transactions that are prohibited under the Bank Bribery Act and the Foreign Corrupt Practices Act. Don't miss these hot examination topics!

Topics to be covered include:

- Conflicts of interest and codes of conduct
- Restrictions on loans to insiders, including insiders of correspondent banks
- Restrictions on affiliate transactions
- Bank Bribery Act and related issues

ON-DEMAND STREAMING AVAILABLE

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&
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* Janet Bonnefin is retired from the practice of law with the firm.

Got Conflicts? Learn How to Recognize and Control Them!— On-Demand

ON-DEMAND WEBINAR

This Webinar was originally presented on January 30, 2024. There will be no live Q&A sessions but the recording does include the recorded Q&A sessions. This program is 2.0 hours in length.

Member Status	On-Demand Pricing
BCG Member	\$335
BCG Non-Member	\$435

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If you have not established an online event registration account, contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This On-Demand Webinar is offered to BCG members on an optional basis. We will include the registration fee on the BCG Member's monthly BCG invoice.

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ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE: As an added benefit we are offering this On-Demand webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.