

On-Demand

Webinar

Insider and Affiliate Transactions

Originally presented on
Tuesday, November 9, 2021
2.0 hour program

Presented by

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WHO SHOULD ATTEND?

International banking staff, Commercial lenders, Note department, Audit staff, and In-house counsel

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

This Webinar will provide a comprehensive discussion of the rules under Regulations O and W and other laws governing loans to executive officers, directors, and principal shareholders of financial institutions and their related interests, as well as affiliate transactions. The program will cover the following topics:

- The parties covered by the rules
- Extensions of credit defined
- General prohibitions, including:
 - ◊ Terms and creditworthiness
 - ◊ Prior approval
 - ◊ Special lending limits
 - ◊ Loans to insiders of affiliates
 - ◊ Overdrafts of insiders
- Loans to credit union officials
- Additional restrictions on loans to executive officers
- Transactions with affiliates

We will also discuss related issues regarding the indebtedness of executive officers and directors of registered companies, such as bank holding companies. Additionally, we will address restrictions on loans to federal and state credit union officials and senior management. Don't miss this important discussion of a hot topic in regulatory exams!

HIGHLIGHTS

- Restrictions on loans to insiders, including insiders of correspondent banks
- Annual insider questionnaires
- Board resolutions
- Examination issues

ON-DEMAND STREAMING AVAILABLE

This webinar was originally presented on November 9, 2021. There will be no live Q&A sessions but the recording does include the recorded Q&A sessions. This program is 2.0 hours in length.

PRICING

Member Status	On-demand Pricing
BCG Member	\$335
BCG Non-Member	\$435

REGISTRATION

BCG Members: To register, please contact your institution's Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "On-Demand Events." Registrants will receive an email with instructions on how to access the On-Demand webinar and download the materials within approximately 24 hours after the purchase has been made.

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If you have not established an online event registration account, please contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This On-demand Webinar is offered to BCG members on an optional basis. We will include the registration fee on the BCG Member's monthly BCG invoice.

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ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.