

March 26

2020

Webinar

BSA Compliance: Customer Due Diligence

Thursday, March 26, 2020

10:00 – 11:30 a.m.

Speaker:
Keith R. Forrester



WHO SHOULD ATTEND?

Please invite your BSA and operations staff, new accounts and lending personnel, compliance staff and in-house counsel.

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

Due to the ever-increasing regulatory scrutiny and requirements, as well as advanced money laundering schemes and terrorist financing activities, it is becoming more and more difficult to effectively manage BSA risk.

While identifying customers and obtaining beneficial information is not overly complicated, once you have identified a customer, what should you do next? Should you even open the account? And if you do, what information should you obtain and what type of monitoring efforts are you required to perform?

This Webinar is designed to provide straightforward training necessary to help you and your staff understand a financial institution's customer due diligence (CDD) obligations under the Bank Secrecy Act, including enhanced due diligence (EDD) expectations when providing services to high-risk businesses. And of course, meeting these requirements would not be possible without also understanding the obligation to adequately identify the risks within the institution's own business operations.

Highlighted areas will include:

- BSA and AML risk analysis and mitigation
- Money laundering "Red Flags"
- Customer due diligence expectations
 - ◊ Understanding the nature and purpose of customer relationships
 - ◊ Performing basic customer due diligence
 - ◊ Enhanced due diligence requirements
- Ongoing monitoring requirements

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Live Webinar (Single Connection)	\$255.00 (BCG Members)
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Live Webinar <u>AND</u> CD* (when ordered together)	\$460.00 (BCG Members)
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REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." Registration closes at noon **three business days** prior to the Webinar date. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Webinar.

If you have not established an online event registration account, please contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment no later than 10:00 a.m. on March 23, 2020. Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

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ACCREDITATION

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