

MAY 23

2018

Webinar

Insider and Affiliate Transactions

Wednesday, May 23
10:00 a.m. – 12:00 p.m.

Speaker:

Mark Aldrich

Principal, Aldrich & Bonnefin



WHO SHOULD ATTEND?

- Loan officers
- Chief credit officers
- Private bankers
- Compliance officers and staff
- Credit administrators
- Internal auditors

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

This Webinar will provide a comprehensive discussion of rules under Regulations O and W and other laws covering loans to executive officers, directors, principal shareholders of financial institutions and their related interests, and affiliate transactions. The program will cover the following topics:

- Persons covered
- Extensions of credit defined
- General prohibitions
 - Terms and creditworthiness
 - Prior approval
 - Special lending limits
 - Loans to insiders of affiliates
 - Overdrafts of insiders
- Loans to credit union officials
- Additional restrictions on loans to executive officers
- Transactions with affiliates

We will also discuss related issues as to the indebtedness of executive officers and directors of registered companies, such as bank holding companies. We will also address restrictions on loans to federal and state credit union officials and senior management. Additionally we will briefly discuss restrictions on the payment of interest on deposits of insiders. Don't miss this important discussion of a hot issue for examiners!

Highlighted areas will include:

- Restrictions on loans to insiders of correspondent banks
- Annual insider questionnaires
- Board resolutions
- Examination issues
- Common violations

A CD also will be available for purchase!

ALDRICH
&
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PRICING

When registering online, please select from the following options:

Live Webinar (Single Connection)	\$295.00 (BCG Members)
	\$395.00 (BCG Non-members)
CD-ROM	\$295.00 (BCG Members)
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Live Webinar <u>AND</u> CD-ROM	\$530.00 (BCG Members)
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REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." Registration closes at noon **three business days** prior to the Webinar date. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Webinar.

If you have not established an online event registration account, please contact Nina Gomez at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment no later than 10:00 a.m. on Friday, May 18. Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION

BCG Members will be billed \$50 unless we receive a written cancellation notice by 10:00 a.m. on Friday, May 18.

Non-members will be **fully** refunded if we receive a written cancellation notice by 10:00 a.m. on Friday, May 18. Otherwise, the registration fee, less \$50, will be refunded.

ACCREDITATION

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted on the conference website and note the two verification codes that will be announced during the Webinar.