

May 23

2023

Webinar

Handling Subpoenas

Tuesday, May 23, 2023
10:00 a.m. —12:00 p.m. PT

Presented by

Keith R. Forrester, Esq.

Principal of Aldrich & Bonnefin, PLC



WHO SHOULD ATTEND?

Operations officers, Personnel who handle legal processes, Compliance Officers, In-house Counsel, Branch Managers, and New accounts personnel

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC*

The rules for responding to subpoenas and other government requests for information vary significantly, thereby making, it difficult to determine whether a financial institution should comply with a particular legal process. Many of the difficulties in properly producing the documents and records requested can also be attributed to overly broad or vague requests. For obvious reasons this can lead to confusion and claims against a financial institution for failing to respond properly.

This Webinar will focus on dealing with subpoenas served by private parties as well as those served by state and federal government agencies. Our discussion will include a detailed review of some of the more common legal process forms, with an emphasis on those areas of the forms financial institutions should pay particular attention to as well as the specific terminology used in describing the requested records.

HIGHLIGHTS

- Deposition subpoenas for production of business records
- Subpoena duces tecum
- State and federal Right to Financial Privacy Acts
- State and federal grand jury subpoenas
- IRS summons for records
- SEC summons
- Search warrants

ON-DEMAND STREAMING AVAILABLE



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* Janet Bonnefin is retired from the practice of law with the firm.

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PRICING

When registering online, please select from the following options:

Live Webinar (Live Webinar connection allows only 1 user)	\$315.00 (BCG Members)
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On-Demand Event (available 3 business days after Webinar is held)	\$335.00 (BCG Members)
	\$435.00 (BCG Non-members)

REGISTRATION

To register, please contact your institution's Bankers' Compliance Group® Registration Contact or, if authorized, log in at <https://registerbankerscompliancegroup.com/subadmin> and select "Register for Events."

Registration for the Live Webinar closes on **Thursday, May 18 at 10 a.m.** Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Live Webinar.

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If you have not established an online event registration account, please contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment for the Live Webinar no later than **10:00 a.m. on Thursday, May 18**. Non-members may pay by credit card or check for the Live Webinar and the On-Demand Event. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION POLICY

BCG Members: We must receive your written cancellation notice by **10:00 a.m. on Thursday, May 18**, with no charge. If we receive your cancellation request after this deadline, we will bill you \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, we will bill you the full registration fee.

Non-members: We must receive your written cancellation notice by **10:00 a.m. on Thursday, May 18**, in which case we will refund your registration fee. If we receive your cancellation request after this deadline, we will refund your registration fee, less \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, your registration fee is non-refundable.

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ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.