

NOVEMBER 6

2018

Webinar

Complying with the Beneficial Ownership Rule

Tuesday, November 6
10:00 a.m. – 12:00 p.m.



WHO SHOULD ATTEND?

- Operations and compliance staff
- New accounts staff
- Lending personnel staff
- In-house counsel

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

Aldrich & Bonnefin is pleased to invite you to attend our Webinar on the new Beneficial Ownership Rule. Complying with the new rule can still be somewhat difficult despite multiple FAQs having been issued by FinCEN. While we will cover the basic requirements for obtaining beneficial ownership information for customers, we will also attempt to explain some of the more confusing and vague areas.

During this Webinar, we will cover the FAQs as well as how those FAQs have raised yet other compliance concerns. This will include treatment of CD and loan renewals, what is actually considered a new account, applying the rule to new services for existing accounts, and the treatment of both property management accounts and formal trusts.

Highlighted areas will include:

- Requirements of beneficial owner rule
- Completion of Certificate of Beneficial Ownership form
- 2016 and 2018 FinCEN FAQ's
- Advisory on CD and loan renewals
- Triggering events
- What is an account? Are adding services considered a new account?
- Treatment of property management accounts
- Formal trusts as beneficial owners

SPEAKER:

Keith R. Forrester
Principal, Aldrich & Bonnefin

A CD also will be available for purchase!

ALDRICH
&
BONNEFIN

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November 6, 2018 Webinar — Complying with the Beneficial Ownership Rule

PRICING

When registering online, please select from the following options:

Live Webinar (Single Connection)	\$295.00 (BCG Members)
	\$395.00 (BCG Non-members)
CD-ROM	\$295.00 (BCG Members)
	\$395.00 (BCG Non-members)
Live Webinar <u>AND</u> CD-ROM	\$530.00 (BCG Members)
	\$710.00 (BCG Non-members)

REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." Registration closes at noon **three business days** prior to the Webinar date. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Webinar.

If you have not established an online event registration account, please contact Nina Gomez at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment no later than 10:00 a.m. on Thursday, November 1. Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION

BCG Members will be billed \$50 unless we receive a written cancellation notice by 10:00 a.m. on Thursday, November 1.

Non-members will be **fully** refunded if we receive a written cancellation notice by 10:00 a.m. on Thursday, November 1. Otherwise, the registration fee, less \$50, will be refunded.

ACCREDITATION

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted on the conference website and note the two verification codes that will be announced during the Webinar.