

OCTOBER 19

2017

Webinar

Handling Subpoenas for Customer Records

Change of Date

Thursday, October 19
10:00 – 11:30 a.m.

Presented by:
Keith Forrester
Principal, Aldrich & Bonnefin

WHO SHOULD ATTEND?

- Operations officers
- Personnel who handle legal processes
- Compliance officers
- In-house counsel
- Branch managers
- New accounts personnel

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

When it comes to responding to a subpoena, protecting a customer's financial privacy can be extremely challenging, whether the customer is a consumer or business entity.

This Webinar will focus on dealing with subpoenas served by private parties as well as those served by state and federal government agencies that request records regarding a financial institution's customers.

We will also discuss how to properly respond to requests for a customer's financial records and also review in detail some of the more common subpoena forms.

Topics to be covered include:

- Deposition subpoenas
- Subpoena duces tecum
- State and federal Right to Financial Privacy Acts
- IRS summons for records
- Grand jury subpoenas



SPEAKER: **Keith R. Forrester, Esq.**, is a principal with Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of operations matters, including new accounts, as well as the California and Federal right to financial privacy laws. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking and legal processes.

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PRICING

When registering online, please select from the following options:

Live Webinar (Single Connection)	\$255.00 (BCG Members)
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Live Webinar <u>AND</u> CD-ROM	\$460.00 (BCG Members)
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REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." Registration closes at noon **three business days** prior to the Webinar date. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Webinar.

If you have not established an online event registration account, please contact Nina Gomez at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment no later than 10:00 a.m. on Monday, October 16. Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION

BCG Members will be billed \$50 unless we receive a written cancellation notice by 10:00 a.m. on Monday, October 16.

Non-members will be **fully** refunded if we receive a written cancellation notice by 10:00 a.m. on Monday, October 16. Otherwise, the registration fee, less \$50, will be refunded.

ACCREDITATION

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **1.5 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted on the conference website and note the two verification codes that will be announced during the Webinar.