

October 21

HANDLING SUBPOENAS

Presented by

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Principal of Aldrich & Bonnefin, PLC

Wednesday, October 21, 2026

10:00 a.m. – 12:00 p.m. PT

WHO SHOULD ATTEND?

Operations officers, personnel who handle legal processes, compliance officers, in-house counsel, branch managers, and new accounts personnel

ON-DEMAND STREAMING AVAILABLE



The rules for responding to subpoenas and other government requests for information vary significantly, thereby making it difficult to determine whether a financial institution should comply with a particular legal process. Many of the difficulties in properly producing the documents and records requested can also be attributed to overly broad or vague requests. For obvious reasons, this can lead to confusion and claims against a financial institution for failing to respond properly.

This Webinar will focus on dealing with subpoenas served by private parties as well as those served by state and federal government agencies. Our discussion will include a detailed review of some of the more common legal process forms, with an emphasis on those areas of the forms financial institutions should pay particular attention to as well as the specific terminology used in describing the requested records.

HIGHLIGHTED AREAS WILL INCLUDE

- **Deposition subpoenas for production of business records**
- **Subpoena duces tecum**
- **State and federal Right to Financial Privacy Acts**
- **State and federal grand jury subpoenas**
- **IRS summons for records**
- **SEC subpoenas**
- **Search warrants**

PRICING

WHEN REGISTERING ONLINE, PLEASE SELECT FROM THE FOLLOWING OPTIONS:

Live Webinar <i>(Live Webinar connection will allow only 1 user)</i>	\$330.00 (BCG Members)
	\$410.00 (Non-Members)
On-Demand Event <i>(available 3 business days after Webinar is held)</i>	\$350.00 (BCG Members)
	\$430.00 (Non-Members)

REGISTRATION

- To register, please contact your institution's Bankers' Compliance Group® Main Contact or, if authorized, log in at register.bankerscompliancegroup.com/subadmin and select "Register for Events."
- Registration for the Live Webinar closes on Friday, October 16. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Live Webinar.
- Streaming the On-Demand Event will be available 3 business days after the Webinar is held. Registrants may stream the event for 60 days after purchase. Registrants will receive an email with instructions on how to access the On-Demand Webinar and download the materials within approximately 24 hours after we have confirmed your payment has been received.
- If you have not established an online event registration account, please contact Katrina Jensen at **800-742-3600** or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members	This Webinar is offered to BCG Members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.
Non-Members	We must receive payment for the Live Webinar no later than 10:00 a.m. on Friday, October 16 . Non-members may pay by credit card or check for the Live Webinar or the On-Demand Event. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029 , and indicate the event for which you are registering.

CANCELLATION POLICY

BCG Members	We must receive your written cancellation notice by 10:00 a.m. on Friday, October 16 , with no charge. If we receive your cancellation request after this deadline, we will bill you \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, we will bill you the full registration fee.
Non-Members	We must receive your written cancellation notice by 10:00 a.m. on Friday, October 16 , in which case we will refund your registration fee. If we receive your cancellation request after this deadline, we will refund your registration fee, less \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, your registration fee is non-refundable.
On-Demand Event	Once your payment for the On-Demand Event is complete, your registration fee is nonrefundable.

ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE	As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of 2.0 hours . Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.
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