

September 18

2025

Webinar

Handling Subpoenas

Thursday, September 18, 2025
10:00 a.m. – 12:00 p.m. PT

Keith R. Forrester, Esq.
*Principal of Aldrich & Bonnefin, PLC**



Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC*

The rules for responding to subpoenas and other government requests for information vary significantly, thereby making it difficult to determine whether a financial institution should comply with a particular legal process. Many of the difficulties in properly producing the documents and records requested can also be attributed to overly broad or vague requests. For obvious reasons this can lead to confusion and claims against a financial institution for failing to respond properly.

This Webinar will focus on dealing with subpoenas served by private parties as well as those served by state and federal government agencies. Our discussion will include a detailed review of some of the more common legal process forms, with an emphasis on those areas of the forms financial institutions should pay particular attention to as well as the specific terminology used in describing the requested records.

HIGHLIGHTS

- Deposition subpoenas for production of business records
- Subpoena duces tecum
- State and federal Right to Financial Privacy Acts
- State and federal grand jury subpoenas
- IRS summons for records
- SEC subpoenas
- Search warrants

WHO SHOULD ATTEND?

Operations officers, Personnel who handle legal processes,
Compliance officers, In-house counsel, Branch managers, and
New accounts personnel

ON-DEMAND STREAMING AVAILABLE

ALDRICH
&
BONNEFIN
Professional Law Corporation

BANKERS' COMPLIANCE GROUP®

phone: 800.742.3600

fax: 949.474.0617

Email: info@bankerscompliancegroup.com



* Janet Bonnefin has retired from the firm.

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PRICING

When registering online, please select from the following options:

Live Webinar (Live Webinar connection allows only 1 user)	\$315.00 (BCG Members)
	\$395.00 (BCG Non-members)
On-Demand Event (available 3 business days after Webinar is held)	\$335.00 (BCG Members)
	\$415.00 (BCG Non-members)

REGISTRATION

To register, please contact your institution's Bankers' Compliance Group® Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events."

Registration for the Live Webinar closes on **Monday, September 15**. Registrants will receive an email on how to download the materials and connect to the presentation two business days before the Live Webinar.

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If you have not established an online event registration account, please contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG Members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

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CANCELLATION POLICY

BCG Members: We must receive your written cancellation notice by **10:00 a.m. on Monday, September 15**, with no charge. If we receive your cancellation request after this deadline, we will bill you \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, we will bill you the full registration fee.

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On-Demand Event: Once your payment for the On-Demand Event is complete, your registration fee is nonrefundable.

ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.