

SEPTEMBER 21

2017

Webinar

Handling Levies and Executions

Thursday, September 21
10:00 a.m. – 12:00 p.m.



WHO SHOULD ATTEND?

- Operations officers
- Personnel who handle legal processes
- Compliance officers
- In-house counsel
- Branch managers
- New accounts personnel

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

Attempting to determine whether a debtor listed in a levy matches the customer of an institution as identified by the institution's records can prove to be quite challenging, even to the very experienced. It does not help that the form of levy will be different depending on whether being served by a sheriff or one of the various government agencies.

This Webinar will discuss the fundamentals of processing a levy and important principles for determining whether a particular type of account is subject to a levy. We will also review the various forms and discuss examples to help better understand how best to deal with any given levy.

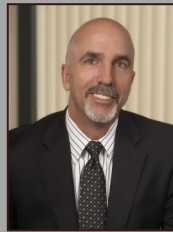
Topics to be covered include:

Property Subject to Levy

- Individual accounts
- Business accounts
- Fiduciary accounts

Writs and Levies

- Writs of execution
- Notices of levy
- Government levies and orders



SPEAKER: Keith R. Forrester, Esq., is a principal with Aldrich & Bonnefin. Since 1993, Mr. Forrester has been extensively involved with advising financial institutions on a variety of operations matters, including new accounts, as well as the California and Federal right to financial privacy laws. Mr. Forrester is a member of the firm's Operations and FinTech Practice Group and in that capacity assists financial institutions in areas such as BSA, negotiable instruments, electronic banking and legal processes.

Please also join us on **Thursday, October 19**, for our companion Webinar entitled, "**Handling Subpoenas for Customer Records.**" This Webinar will discuss civil subpoenas as well as government requests for information under the California and Federal Right to Financial Privacy laws. Please refer to the separate announcement for the October Webinar.

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&
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September 21, 2017 Webinar – Handling Levies and Executions

PRICING

When registering online, please select from the following options:

Live Webinar (Single Connection)	\$295.00 (BCG Members)
	\$395.00 (BCG Non-members)
CD-ROM	\$295.00 (BCG Members)
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Live Webinar <u>AND</u> CD-ROM	\$530.00 (BCG Members)
	\$710.00 (BCG Non-members)

REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <http://register.bankerscompliancegroup.com/subadmin> and select "Register for Events." Registration closes at noon **three business days** prior to the Webinar date. Registrants will receive an email on how to download the materials and make the connection for the presentation two business days before the Webinar.

If you have not established an online event registration account, please contact Nina Gomez at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment no later than 10:00 a.m. on Monday, September 18. Non-members may pay by credit card or check. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION

BCG Members will be billed \$50 unless we receive a written cancellation notice by 10:00 a.m. on Monday, September 18.

Non-members will be **fully** refunded if we receive a written cancellation notice by 10:00 a.m. on Monday, September 18. Otherwise, the registration fee, less \$50, will be refunded.

ACCREDITATION

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education. **SPECIAL NOTICE:** To receive MCLE credit, attorneys will need to download the MCLE forms posted on the conference website and note the two verification codes that will be announced during the Webinar.