

September 20

2021

Webinar

Documenting Your Borrowers

Monday, September 20, 2021
10:00 am — 12:00 pm PDT

Presented by

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Aldrich & Bonnefin, PLC



WHO SHOULD ATTEND?

Loan officers
Documentation specialists
In-house attorneys
Compliance officers
New accounts personnel

Presented by Counsel for Bankers' Compliance Group®
ALDRICH & BONNEFIN, PLC

With all the different types of business entities, lenders have challenges in properly identifying their borrowers and understanding their legal structures. Customer Identification Program (CIP) requirements and the Beneficial Ownership Rule impose specific regulatory requirements for identifying borrowers. Failure to properly identify a borrower may not only impair the lender's security interest and put the collectability of the entire loan at risk due to UCC Article 9's requirements, but could also result in a compliance violation.

This Webinar will address these issues in a "workshop" format, providing checklists for the types of documents that can be obtained from various types of business entities.

HIGHLIGHTS

- What are the due diligence "best practices" for each type of entity?
- How do Customer Identification Program (CIP) rules affect lending procedures and what are the frequently asked questions?
- What are the due diligence issues that arise under UCC Article 9?
- What are the differences between sole proprietorships, corporations, LLCs and partnerships?
- What about the impact of the Beneficial Ownership Rule?
- Best Practices Checklists

ON-DEMAND STREAMING AVAILABLE

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PRICING

When registering online, please select from the following options:

Live Webinar (Single Connection—with up to 5 users)	\$315.00 (BCG Members)
	\$415.00 (BCG Non-members)
On-Demand Event (available 3 business days after Webinar is held)	\$335.00 (BCG Members)
	\$435.00 (BCG Non-members)

REGISTRATION

To register, please contact your institution's Main Contact or, if authorized, log in at <https://register.bankerscompliancegroup.com/subadmin> and select "Register for Events."

Registration for the Live Webinar closes at noon on Wednesday, September 15. Two business days before the Live Webinar, registrants will receive an email with instructions on how to download the materials and connect to the presentation.

Streaming the On-Demand Event will be available 3 business days after the Webinar is held. Registrants may stream the event for 60 days after purchase. Registrants will receive an email with instructions on how to access the On-Demand Webinar and download the materials within approximately 24 hours after we confirm that we have received your payment.

If you have not established an online event registration account, please contact Katrina Jensen at 800-742-3600 or email her at info@bankerscompliancegroup.com to obtain a username and password.

PAYMENT

BCG Members: This Webinar is offered to BCG members on an optional basis. BCG Members will be billed and do not need to pay online or send a check.

Non-members: We must receive payment for the Live Webinar no later than 10:00 a.m. on Wednesday, September 15. Non-members may pay by credit card or check for the Live Webinar or the On-Demand Event. To pay by credit card, follow the credit card instructions during the online registration process. Major credit cards are accepted. To pay by check, make checks payable and mail to **Aldrich & Bonnefin, PLC, P.O. Box 19686, Irvine, CA 92623-1029**, and indicate the event for which you are registering.

CANCELLATION POLICY

BCG Members: We must receive your written cancellation notice by 10:00 a.m. on Wednesday, September 15 with no charge. If we receive your cancellation request after this deadline, we will bill you \$50. However, if we have already sent an email with your PIN by the time we receive your cancellation request, we will bill you the full registration fee.

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ACCREDITATION

(Continuing Education credit will be provided only to the registrant)

MCLE: As an added benefit we are offering this Webinar to in-house attorneys for MCLE credit. This activity has been approved for Minimum Continuing Legal Education credit by the State Bar of California in the amount of **2.0 hours**. Aldrich & Bonnefin certifies that this activity conforms to the standards for approved education activities prescribed by the rules and regulations of the State Bar of California governing minimum continuing legal education.